

# Agenda



**AGENDA for a meeting of the AUDIT COMMITTEE in COMMITTEE ROOM B at County Hall, Hertford on FRIDAY, 23 SEPTEMBER 2016 at 10.30AM**

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## **MEMBERS OF THE COMMITTEE (10)** (Quorum 3)

R F Cheswright, G R Churchard, D T F Scudder, T Hunter, D Andrews, J Lloyd, T W Hone, S J Taylor,  
A D Williams (*Chairman*), W J Wyatt-Lowe (*Vice-Chairman*)

Meetings of the Committee are open to the public (this includes the press) and attendance is welcomed. However, there may be occasions when the public are excluded from the meeting for particular items of business. Any such items are taken at the end of the public part of the meeting and are listed under "Part II ('closed') agenda".

Committee Room B fitted with an audio system to assist those with hearing impairment. Anyone who wishes to use this should contact main (front) reception.

## **PART I (PUBLIC) AGENDA**

### **1. MINUTES**

To confirm the minutes of the meeting held on 21 June 2016.

### **2. AUDIT RESULTS REPORT 2015/16 – COUNTY COUNCIL**

Report of Ernst & Young

### **3. RESPONSE TO THE AUDIT RESULTS REPORT – HERTFORDSHIRE COUNTY COUNCIL (INCLUDING FIRE FIGHTERS' PENSION FUND) FINANCIAL STATEMENTS**

Report of the Director of Resources

### **4. ANNUAL STATEMENT OF ACCOUNTS – HERTFORDSHIRE COUNTY COUNCIL (INCLUDING FIRE FIGHTERS' PENSION FUND) FINANCIAL STATEMENTS**

Report of the Director of Resources

**5. AUDIT RESULTS REPORT 2015/16 – PENSION FUND**

Report of Ernst & Young

**6. RESPONSE TO THE AUDIT RESULTS REPORT 2015/16**

Report of the Assistant Director – Finance

**7. RISK MANAGEMENT UPDATE**

Report of the Head of Assurance

**8. RISK FOCUS REPORT – HEALTH AND SOCIAL CARE ECONOMY**

Report of the Director, Health and Community Services

**9. INTERNAL AUDIT PROGRESS REPORT**

Report of the Head of Assurance Services

**10. SHARED INTERNAL AUDIT SERVICE ANNUAL REPORT**

Report of the Head of Assurance Services

**11. SHARED ANTI-FRAUD SERVICE REPORT**

Report of the Assistant Director – Finance

**12. FUTURE WORK PROGRAMME**

The Committee is invited to agree its future rolling work programme, suggested as follows:-

<p>Wednesday 30 November 2016 at 10am</p>	<ul style="list-style-type: none"> <li>• Annual Audit Letter 2015/16</li> <li>• Annual Audit Results Report – Follow Up Actions</li> <li>• Grant Certification 2015-16</li> <li>• Mid-Year Report on the Treasury Management Service and Prudential Indicators 2016/17</li> <li>• Risk Management Update</li> <li>• Risk Focus Report</li> <li>• Internal Audit Progress Report</li> <li>• S106 and CIL Update Report</li> </ul>
<p>Wednesday 1 March 2017 at 10am</p>	<ul style="list-style-type: none"> <li>• Preparation for 2016/17 Accounts</li> <li>• Audit Plan 2016/17 – County Council</li> <li>• Audit Plan 2016/17 – Pension Fund</li> <li>• Letters of Representation on Management and Oversight of The Hertfordshire County Council (Including Firefighters’ Pension Fund) And</li> </ul>

	<p>Hertfordshire Pension Fund Accounts 2016/17</p> <ul style="list-style-type: none"> <li>• Risk Management Annual Report 2016/17</li> <li>• Risk Focus Report</li> <li>• Internal Audit Progress Report Q4</li> <li>• Internal Audit Plan 2017/18</li> </ul>
Tuesday 27 June 2017 at 10am	<ul style="list-style-type: none"> <li>• Risk Management Update</li> <li>• Risk Focus Report –</li> <li>• Annual Governance Statement 2016/17 and Code of Corporate Governance</li> <li>• Annual Assurance Statement and Internal Audit Annual Report 2016/17</li> <li>• Internal Audit Progress Report Q1</li> <li>• End of Year Report on the Treasury Management Service and Prudential Indicators 2016/17</li> <li>• Whistle Blowing Annual Report 2016/17</li> </ul>

**If you require further information about this agenda please contact Theresa Baker, Democratic Services, on telephone no (01992) 556545 or email [theresa.baker@hertfordshire.gov.uk](mailto:theresa.baker@hertfordshire.gov.uk)**

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[www.hertfordshire.gov.uk](http://www.hertfordshire.gov.uk)